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Attorney Docket No. 

A2487-US-NP

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Gail M. McMillan
Gail M. McMillan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Ronald M. Rockwell

Group Art Unit: 2661

Application No.: 10/686,842

Examiner:

Filed: October 16, 2003

Confirmation No.: 8491

For: INTEGRATED SERVER PLATFORM FOR THE AUTONOMOUS PROVISIONING OF DEVICE SERVICES

Mail Stop: Amendment
Commissioner for Patents
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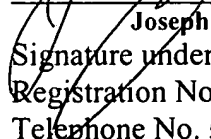
Sir:

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Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents, or published or IFW (Image File Wrapper) available U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (excluding an RCE), **OR** (b) before the mailing date of a first Office Action on the merits (including an RCE). *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
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- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited or submitted to the Office in related parent Application No. _____, filed _____, which is relied upon under 35 U.S.C. §120. Thus, copies of those references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).



Joseph M. Young
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Date 06/17/2005

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

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| Application Number | 10/686,842 |
| Filing Date | 10/16/2003 |
| First Named Inventor | Ronald M. Rockwell |
| Art Unit | 2661 |
| Examiner Name | |
| Attorney Docket Number | A2487-US-NP |

Sheet 1 of 1

U.S. Patent Documents

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US-6,317,748 B1 | 11/13/2001 | Menzies et al | |
| | | US-6,427,168 B1 | 7/30/2002 | McCollum | |
| | | US-2002/147611 A1 | 10/10/2002 | Greene et al | |
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Foreign Patent Documents

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|-----------------------|--------------------------|---|--------------------------------|--|---|--------------------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | EP 1 061 445 A | 12/20/2000 | Sun Microsystems, Inc. | | <input type="checkbox"/> |
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Non Patent Literature Documents

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|-----------------------|--------------------------|--|--------------------------|
| | | Distributed Management Task force et al: "Common Information Model CIM Specification" V.2.2 Announcement Distributed Management Task Force, 14 June 1999, pages 1-105 | <input type="checkbox"/> |
| | | Thompson, P: "Successful Practices in Developing a Complex Information Model" conceptual Modeling-ER. International Conference on Conceptual Modeling, 3 November 1997, pages 376-393 | <input type="checkbox"/> |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation description number (optional). ² See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.4. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English Translation is attached.

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